

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		09802163
	Filing Date		2001-03-08
	First Named Inventor	Christopher Keith	
	Art Unit	3692	
	Examiner Name	Clement B. Graham	
Attorney Docket Number		IVEN125525	

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	1	Board of Trade of the City of Chicago, Commodity Trading Manual, 1998, pp. 178-179, 387.	<input type="checkbox"/>

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2	HULL, J C., Introduction to Futures & Options Markets, 2d ed., Prentice Hall, Englewood Cliffs, N J., 1995, pp. 4-6, 11, 26, 33-34.	<input type="checkbox"/>
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5	The Options Institute, "Options: Essential Concepts & Trading Concepts," 3d ed., McGraw-Hill, New York, 1999, p. 420.	<input type="checkbox"/>

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- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Kevan L. Morgan/	Date (YYYY-MM-DD)	2007-03-09
Name/Print	Kevan L. Morgan	Registration Number	42015

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